Supramolecular Nanofiber Formation of Macrocyclic Dendrimer

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ABSTRACT: Novel macrocyclic dendrimers (Scheme 2), having two cis-1,3,5-cyclohexanetricarboxamide (1) or 1,3,5-benzenetricarboxamide units (3) in their core, were synthesized, and their self-assembly behaviors were evaluated in solution. Infrared spectroscopy of cis-1,3,5-cyclohexanetricarboxamide cored dendrimer (1) in CHCl₃ indicated that formation of strong hydrogen-bonding interactions is taking place among the core units. The ¹H NMR spectrum of 1 in CDCl₃ showed broadenings of all the peaks, which were sharpened by adding one drop of CF₃CO₂H. GPC analysis showed the formation of self-assembled particles having ultrahigh molecular weight, whose average diameter was evaluated as about 140 nm by DLS measurement. AFM observation of the cast on mica film showed that 1 forms a fibrous assembly of uniform thickness with a diameter of 6 nm. In sharp contrast to 1, 1,3,5-benzenetricarboxamide units cored dendrimer (3) did not form a fibrous assembly under identical conditions because of the twisted arrangement of the core units.

Introduction

Secondary and tertiary structures of natural macromolecules such as polypeptides, polynucleotides, and polysaccharides are mostly controlled by noncovalent interactions. These interactions are very important in macromolecular chemistry where hydrogen bonding and other weak reversible interactions can make a major contribution to designing new polymeric architectures. In recent years, a number of concepts have been proposed that use noncovalent interactions for the construction of a supramolecular polymeric assembly. To obtain a linear type supramolecular polymeric assembly, it is desirable to have interactions sufficiently strong, directional, and sufficiently reversible to alternate covalent bonds. cis-1,3,5-Cyclohexanetricarboxamide and 1,3,5-benzenetricarboxamide units are potential scaffolds for the construction of supramolecular polymeric assembly systems. Both of them exhibit a discotic supramolecular assembly via intermolecular hydrogen bonding (Scheme 1). cis-1,3,5-Cyclohexanetricarboxamide has three unidirectional amide units in which the cis-1,3,5-cyclohexanetricarboxamide derivatives form a physical gel or viscoelastic fluid depending on the solvents. 1,3,5-Benzenetricarboxamide derivatives also form unidirectional hydrogen bonds with a typical helical arrangement within the supramolecular assembly structure.

On the other hand, dendrimers are nanometer-sized hyperbranched macromolecules with well-predictable three-dimensional shapes and potential building blocks for the construction of organized functional materials. Since dendrimers have unique three-dimensional shapes, solution properties are predominantly dependent on the peripheral functionalities, and core structures are possibly insulated from the outer environment. From this point of view, three-dimensional warping of the supramolecular assembly system using dendrimer frameworks has potentials for providing a very interesting aspect. In this paper, we demonstrate a novel fibrous supramolecular assembly formation of a dendritic macrocycle with a significantly high molecular weight attributable to intermolecular hydrogen bonding in solution.

Results and Discussion

Synthesis and Characteristic. A series of poly(benzyl ether) dendrimers (Scheme 2) having macrocyclic cores, which contain two cis-1,3,5-cyclohexanetricarboxamide or 1,3,5-benzenetricarboxamide units, were synthesized and characterized by ¹H NMR and MALDI-TOF-MS spectroscopy. Details of the synthetic methodology for the macrocyclic dendrimers are shown in Scheme 3. First, phthalimide was introduced to 3,5-di-tert-butyl-4-methoxybenzaldehyde (4) according to the Mitsunobu’s coupling reaction. Then, 5 thus obtained was reacted with Frechet’s dendron bromide (6) to get phthalimide cored dendron (7), which was changed to amine core dendrimer (8) using hydrazine. Tris-p-nitrophenyl-cis-1,3,5-cyclohexanetri-
carboxylate (10) prepared by dicyclohexyl carbodiimide mediated condensation of p-nitrophenol and cis-1,3,5-cyclohexanetricarboxylic acid (9). Tris-p-nitrophenyl-benzene tricarboxylate (13) was prepared by condensation reaction of trimesoyl chloride (10) and p-nitrophenol. And then, 8 was reacted with 10 or 13 to get precursors for the macrocycle 11 or 14, respectively. 11 or 14 thus obtained was reacted with 4,4′-biphenyldiaminomethyl to get the corresponding macrocyclic dendrimers 1 and 3, respectively. 1 has two different isomeric structures because of the orientation of amide bonds of core cyclohexyl units. However, all the evaluation of 1 was performed as a mixture of the two isomers because the two isomers were not distinguishable or separable by the conventional analytic methods. The N-methylated derivative macrocyclic dendrimer (2) was prepared from 1 by alkali mediated coupling reaction with MeI. All the macrocyclic dendrimers were highly soluble to the halogenated solvents or polar aprotic solvents, such as CHCl₃, CH₂Cl₂, CH₂ClCH₂Cl, DMF, DMSO, etc.

**Spectroscopic Studies of Macrocyclic Dendrimers.** The infrared spectrum (IR) of CHCl₃ solution of 1 (5.0 mM) displayed relatively broad absorption bands at 3290 cm⁻¹, with sharp bands at 1640 and 1550 cm⁻¹, which are characteristics of stretching vibrations of N–H, amide I, and amide II, respectively. These spectral characteristics indicate that the amide groups of 1 are hydrogen bonded to each other despite diluted concentration in solution. Very interestingly, however, the IR spectrum of CHCl₃ solution of 3 (5.0 mM) exhibited patterns quite different from that of 1, where the N–H stretching vibration band was exhibited at 3390 cm⁻¹ and stretching vibrations of amide I and II were exhibited at 1660 and 1518 cm⁻¹, respectively (Figure 1), indicating no hydrogen bonding.

On the other hand, the ¹H NMR spectrum of 1 in CDCl₃ (1 mM) displayed significantly broad bands of all the characteristic peaks (Figure 2a), whereas the ¹H NMR spectrum of 3 in CHCl₃ displayed simple sharp peaks. These observations again indicate possible formation of molecular assembly via hydrogen bonding, which leads to the broadening of ¹H NMR signals of 1 because of the restriction of conformational change in CDCl₃. It is interesting that all the peaks of 1 in CDCl₃ were changed to simple sharp peaks to be identified by the addition of a drop of CF₃CO₂H, a strong hydrogen-bonding acceptor (Figure 2b). These changes indicate that the CF₃CO₂H molecules dissociated the assembled dendrimers.

**Dynamic Light Scattering (DLS) and Gel Permeation Chromatography (GPC).** Figure 3 shows the histogram profile of DLS result of 1 (0.06 mM) in CHCl₃, which indicates the formation of quite a large particles. The average diameter of the particles was evaluated as 142 ± 48 nm. However, 3 has insufficient light scattering intensity in the DLS measurement to be detected as particles. Therefore, the average molecular weight of particles was examined by GPC. The result showed that 1 exhibited a peak at extremely high molecular weight region (Figure 4a), eluting at the time of the exclusion limit of a column (TSKgel GMHXL 7326).
exclusion limit: 4 \times 10^6, eluent: CHCl_3). Interestingly, when the solution containing a small amount of CF_3- CO_2H was eluted, the retention time was significantly increased (Figure 4b). This observation agrees with the \(^1\)H NMR result. As a non-hydrogen-bonding model compound, the N-methylated derivative (2) was newly synthesized and unambiguously characterized by \(^1\)H NMR and MALDI-TOF-MS spectroscopy. The GPC profile of 2 exhibited a single sharp peak with the same retention time as the solution of 1 containing CHCl_3, indicating that the addition of CF_3CO_2H dissociated the assembled macrocyclic dendrimers (Figure 4c). Considering the number of hydrogen-bonding sites, 3 also has a possibility to form a supramolecular assembly. However, IR and \(^1\)H NMR spectra revealed that it was hard for 3 to form hydrogen bonds. GPC analysis of 3 also exhibited a single sharp peak with the same retention time as 2, indicating that 3 does not associate under the GPC condition (Figure 4d).

**Atomic Force Microscopic (AFM) Observation of Macrocyclic Dendrimer.** To find the morphology of the dendrimer assembly, the CHCl_3 solution of macrocyclic dendrimers was spin-coated on a mica film and then subjected to AFM. Clearly 1 formed as entangled fibrous structures (Figure 5a). With sufficient dilution...
of the solution, we could get a single filament of the assembly (Figure 5b,c). This fibrous structure has a homogeneous thickness of 6 nm, which agrees with the computer-aided calculated diameter of the dendrimer, indicating a linear supramolecular assembly formation. In contrast to the result of 1, the AFM results of 2 or 3 showed a clearly different feature. Under identical conditions, 2 or 3 exhibited only an agglomerated mass. Even though both core units of 1 or 3 have six amide bonds, with a potential to form a self-assembled supramolecular structure, only 1 formed the supramolecular polymeric assembly. It is assumed that 1,3,5-benzenetricarboxamide derivatives are twisted into a helical arrangement in the most stable hydrogen-bonding mode; thus, the two 1,3,5-benzenetricarboxamide units in the core of 3 cannot form hydrogen bonds simultaneously among the core units to form a linear type supramolecular polymeric assembly. In sharp contrast, the two cis-1,3,5-cyclohexanetricarboxamide units in the core of 1 can form the hydrogen bonds simultaneously to reinforce each other, so that 1 exhibits an ultrahigh molecular weight and a fibrous assembly formation even in solution.

**Thermal Stability of Assembly.** Variable-temperature $^1$H NMR measurement was performed to check the thermal stability of hydrogen bond of self-assembled dendrimers (Figure 6). At room temperature, the $^1$H NMR spectrum of 1 in o-dichlorobenzene-d$_4$ displayed broad bands of all the peaks, similar to that in CDCl$_3$. On raising the temperature, these broad bands remained up to 150 °C. At 150 °C, all the peaks sharpened enough to be identified. For comparison with the behavior in solution, the thermal behavior of the dried samples (1 and 2) was studied by differential scanning calorimetry (DSC). Each sample was prepared by evaporation from its CHCl$_3$ solution. Upon heating at a rate of 10 °C min$^{-1}$ from 0 °C, both samples exhibited a single endothermic thermal transition, but the temperature was significantly different. 1 exhibited an endothermic transition at 144 °C ($\Delta H = -39.7$ kJ mol$^{-1}$), a much higher temperature than that of 2, which appeared at 60 °C ($\Delta H = -26.6$ kJ mol$^{-1}$). The high transition temperature of 1 might be a result of the hydrogen-bonding interaction of amide units. Notably, the transition temperature of 1 was very close to the sharpening temperature of the $^1$H NMR spectrum, revealing a very interesting aspect that the self-assembly of 1 remarkably stables even in solution.

**Synthesis of Low Molecular Weight Model Compound.** In our attempt to prepare macrocyclic compound without dendritic substitution, benzylamine, instead of 8, was reacted with 10 to prepare a precursor for the macrocycle (Scheme 4). Benzylamine-substituted cyclohexane derivative (15) thus obtained was reacted with 4,4’-diphenyldiaminomethyl in the identical condition to that for 1. Unlike the case of 1, the reaction mixture formed an insoluble mass. By the MALDI–TOF–MS analysis of this mass, the existence of a dimeric macrocycle was definitely confirmed. However, purification of macrocyclic compound was impossible because of the disordered network formed by hydrogen bonding. This means that the dendritic substitution is important for the formation of a soluble assembly and might control the ordered interaction among the core amide groups. Therefore, the supramolecular assembly formation of large dendrimer possibly occurred by the three-dimensional warping of core groups by the dendritic wedges, which may prevent a disordered network formation and possibly stabilize hydrogen-bonding interactions because of the hydrophobic characteristics of the dendritic wedge in addition to possible van der Waals interaction.

**Experimental Section**

**Preparation of Dendrimers: Chemicals.** Chemicals were used as received from commercial sources (Aldrich, TCI). Solvents were freshly distilled according to literature methods.
5. To a tetrahydrofuran (THF) solution (60 mL) of a mixture of 4 (9.0 mmol), phthalimide (10.8 mmol), and triphenylphosphine (10.8 mmol) was added 40% solution of diethylazodicarboxyl acid (DEAD; 10.8 mmol), and the resulting mixture was stirred under Ar at 0 °C for 1 h and 25 °C for 11 h. The reaction mixture was then evaporated to dryness, and the residue was chromatographed on silica gel with CH2Cl2.

6. A THF/EtOH (1:1) solution (20 mL) of a mixture of 5 (0.8 mmol), 6 (1.6 mmol), and 18-crown-6 (0.2 mmol), containing KF (3.9 mmol), was refluxed under Ar for 3 h. The reaction mixture was poured into water (50 mL) and extracted three times with ethyl acetate (50 mL). The combined extracts were dried over anhydrous MgSO4 and chromatographed on silica gel with CH2Cl2 as eluent, where the third fraction was collected and evaporated to give 7 as a white solid in 75% yield. MALDI−TOF−MS for C63H60N12O29 m/z: calcld: 2519 [M+H]+; found: 2519. 1H NMR (CDCl3): δ 0.94 (s, 18H; tert-Bu), 4.47 (s, 2H; −CH2−), 6.04 (t, 1H; p-H in C6H4), 6.30 (d, 2H; o-H in C6H4), 6.03−7.48 (m, 20H; −CH2−), 7.69 and 7.76 (m, 4H; C6H4 in phthalimide).

7. A acetonitrile solution (20 mL) of a mixture of 5 (0.8 mmol), 7 (0.72 mmol) was added hydrazine hydrate (10 mL), and the resulting mixture was refluxed for 12 h. Then, insoluble fractions were filtered off from the reaction mixture, and the filtrate was evaporated to dryness. The resulting mixture was washed with cold ethanol and recrystallized twice in hot ethanol to give 10 as a white crystalline solid in 32% yield. MALDI−TOF−MS for C22H25N3O2 m/z: calcld: 579 [M−H]+; found: 579. 1H NMR (CDCl3): δ 1.90 (q, 3H; equatorial H of cyclohexyl), 2.69 (m, 3H; axial H of CH2 in cyclohexyl), 2.91 (m, 3H; CH in cyclohexyl), 4.27 and 8.28 (m, 12H; H in nitrophenyl).

11. A DMF solution (10 mL) of a mixture of 11 (0.43 mmol) and 8 (0.14 mmol) was vigorously stirred under Ar at 60 °C for 36 h. The reaction mixture was poured into water and extracted with ethyl acetate. The combined extracts were washed three times with an aqueous solution of 1 M NaOH and evaporated to dryness. The resulting mixture was then subjected to recyclable preparative GPC, where the first fraction was collected and freeze-dried from benzene to give 11 as a white solid in 72% yield. MALDI−TOF−MS for C242H239N3O39 m/z: calcld: 2241 [M+H]+; found: 2241. 1H NMR (CDCl3): δ 1.56−2.51 (m, 9H; cyclohexyl), 3.67 (s, 48H; −OMe), 4.23 (d, 2H; CH2−N), 4.84 (s, 28H; −CH2−), 5.86 (t, 1H; N−H), 6.28−6.56 (m, 45H; o−p-H in C6H4), 7.08 and 8.06 (m, 8H; C6H4 in p-nitrophenyl).

13. To a DMF solution (30 mL) of 12 (13.8 mmol) was added p-nitrophenol (8.7 mmol), and the reaction mixture was vigorously stirred at 0 °C and 25 °C for 12 h. The reaction mixture evaporated to dryness and washed with cold ethanol and then recrystallized twice in hot ethanol to get 13 as a white crystalline solid in 12% yield. MALDI−TOF−MS for C22H20N2O12 m/z: calcld: 573 [M+H]+; found: 573. 1H NMR (CDCl3): δ 7.71 and 8.38 (d, 12H; H in p-nitrophenyl), 8.91 (s, 3H; CH3).

15. A DMF solution of a mixture of 10 (0.69 mmol) and benzylamine (0.35 mmol) was vigorously stirred under Ar at 60 °C for 3 h. The reaction mixture was treated in a manner similar to that for the preparation of 11 to give 14 as a white solid in 52% yield. MALDI−TOF−MS for C242H239N3O39 m/z: calcld: 2529 [M+Na+]; found: 2531. 1H NMR (CDCl3): δ 3.73 (s, 48H; −OMe), 4.50 (d, 2H; CH2−N), 4.89 (m, 30H; −CH2−), 6.34−6.58 (m, 45H; o−p-H in C6H4 of dendritic wedge), 7.36 and 8.21 (d, 8H; C6H4 in p-nitrophenyl), 8.76 and 8.95 (m, 3H; CH3), and 9.24 ppm (core).

1. A DMF solution of a mixture of 11 (0.2 mmol) and 4,4′-biphenyldiaminomethyl (0.2 mmol) was vigorously stirred under Ar at 60 °C for 72 h. The reaction mixture was poured into water and extracted with ethyl acetate. The combined extracts were washed three times with aqueous solution of 1 M NaOH and evaporated to dryness. The resulting mixture was then subjected to recyclable preparative GPC, where the first fraction, which is exceeded in the exclusion limit of the column, was collected and freeze-dried from benzene to give 11 as a white solid in 42% yield. MALDI−TOF−MS for C242H239N3O39 m/z: calcld: 4918 [M+Na+]; found: 4919. 1H NMR (TFA included CDCl3): δ 1.65−2.37 (m, 18H; H in cyclohexyl) 3.75 (s, 90H; −OMe), 4.26 (d, 12H, CH2−N), 4.94 (d, 60H; −CH2−), 6.40−6.65 (m, 90H; o−p-H in C6H4), 7.16−7.40 (m, 8H; C6H4).

Scheme 4. Synthesis of Low Molecular Nondendritic Model
To a THF solution of a mixture of 1 (4 μmol) and NaH (12 μmol) was added MeI (12 μmol) and vigorously stirred under Ar at 25 °C for 12 h. Insoluble fractions were filtered off from the reaction mixture, and the filtrate was evaporated to dryness. Then, the resulting mixture was subjected to recylable preparative GPC, where the first fraction was collected and freeze-dried from benzene to give 2 as a white solid in 55% yield. MALDI–TOF–MS for C234H268N6O66NaS m/z: calcld: 4906 [M + Na]+; found: 4908. 1H NMR (CDCl3): 3.70 (s, 90H, −OMe), 4.42 (m, 12H, CH2−N), 4.87 (d, 60H, −CH2−), 6.32–6.59 (m, 90H, o−p−H in CH3), 7.17–7.30 (m, 8H, CH2), 8.00 and 8.31 (m, 6H, CH3 in core).

**Measurement: Instruments.** 1H NMR spectra were measured in CDCl3 or DMSO-d6 at 21 °C on a JEOL GX-270 spectrometer operating at 270 MHz, where the chemical shifts were determined with respect to CHCl3 (δ 7.28 ppm) and CH3SOCD2H (δ 7.82 ppm). Matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI–TOF–MS) was performed on a Bruker model Protein TOF mass spectrometer operating at 270 MHz, where the chemical shifts were determined with respect to CHCl3 (δ 7.28 ppm).

**GPC Measurements.** Analytical GPC was carried out on a TOSOH model HLC-8020 equipped with TSKgel GMHXL column as eluent of CHCl3 at 25 °C. Recyclable preparative GPC was carried out on a JAI model LC-908 equipped with JAIGEL 2H and JAIGEL 3H column as eluent of CHCl3 at 25 °C.

**Atomic Force Microscopy (AFM).** AFM was carried out on a JEOL model JSM-4200. One drop of dilute solution of 1 (0.2 mM) in CHCl3 was placed on a freshly cleaved mica surface and spin-cast for AFM observation.

**Conclusion.**

In our demonstration of the self-assembly of dendritic macrocyclic, spectroscopic studies revealed that the hydrogen bonding among core amide groups is the driving force for the supramolecular assembly. Ultra-high molecular weight assembly formation of the macroradical dendrimer 1 was observed by GPC and DLS analysis, whereas 3 cannot form a high molecular weight supramolecular assembly, presumably because of the twist arrangement of hydrogen bonds between core 1,3,5-benzenetricarboxamide units. The linear stacking of macroradical can provide a tubular structure. 1 exhibited a fibrous supramolecular assembly structure by AFM. It is possible that the fibrous supramolecular assembly structure of dendritic macrocycle also have tubular internal space. A novel ultrahigh molecular weight supramolecular polymeric assembly which is composed of macromyclic units was designed, and its solubility was controlled by introducing of a dendritic architecture.

**References and Notes**